

MD
10/8/02

NOT ON
PR LIST

Patent Docket P2730P1C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ashkenazi et al. Serial No.: 09/941,992 Filed: August 28, 2001 For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i>	Group Art Unit: 1631 Examiner: Not yet assigned
--	--

**TRANSMITTAL OF PROPERTY RIGHTS STATEMENT TO DOE AND/OR NASA
UNDER 42 U.S.C. §§ 2182 and 2457**

Assistant Commissioner of Patents
ATTN: Licensing and Review
Washington, D.C. 20231

RECEIVED
OCT 15 2002
LICENSING & REVIEW

Sir:

Applicants submit herewith an executed property rights statement to the DOE and/or NASA under 42 U.S.C. §§ 2182 and 2457 for the above captioned patent application. This property rights statement is submitted in anticipation of the Patent Office issuing a requirement for such a statement in the above captioned patent application as such a requirement was issued by the Patent Office in other related patent applications. Applicants note that this property rights statement is effective not only for the above captioned patent application, but also for all currently pending continuations of the above captioned patent application as shown in Appendix A which is appended to the fully executed property rights statement submitted herewith.

Also submitted herewith is a declaration by the undersigned patent attorney which serves to set forth the reasons why one of the joint inventors of the above captioned application (and all continuation,

Serial No.: 09/941,992

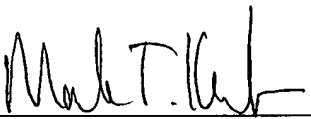
Filed: August 28, 2001

divisional and continuation-in-part applications), Nicholas F. Paoni, has refused to sign the herewith enclosed property rights statement to the DOE and/or NASA under 42 U.S.C. §§ 2182 and 2457.

The Commissioner is authorized to charge any fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

By: 

Mark T. Kresnak, Ph.D.

Reg. No. 42,767

Telephone: (650) 225-4461



09157

PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>In re Application of:</p> <p>Ashkenazi et al.</p> <p>Serial No.: 09/941,992</p> <p>Filed: August 28, 2001</p> <p>For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i></p>	<p>Group Art Unit: 1631</p> <p>Examiner: Not yet assigned</p>
---	---

**DECLARATION OF MARK T. KRESNAK REGARDING THE REFUSAL OF INVENTOR
NICHOLAS F. PAONI TO SIGN DOCUMENTS UNDER MPEP §§ 151 AND 409.03(d)**

Assistant Commissioner of Patents
ATTN: Licensing and Review
Washington, D.C. 20231

RECEIVED
OCT 15 2002
LICENSING & REVIEW

Sir:

I, MARK T. KRESNAK, hereby declare as follows:

1. I am a patent attorney employed by the assignee of the above captioned patent application (and all continuations, divisionals and continuation-in-part applications thereof), Genentech, Inc., 1 DNA Way, South San Francisco, California 94080.
2. I have a power of attorney in the above captioned patent application (and all continuations, divisionals and continuation-in-part applications thereof) and am authorized to sign documents related to the prosecution of these patent applications on behalf of the assignee, Genentech, Inc.
3. In response to U.S. Patent Office notices requiring the submission by Applicants of a Property Rights Statement to the DOE and/or NASA under 42 U.S.C. §§ 2182 and 2457 in the above captioned patent application and/or certain other related patent applications, I prepared such a Property Rights Statement and forwarded copies thereof to each of the inventors of the above captioned patent application (and all continuations, divisionals and continuation-in-part application thereof) for execution.

Serial No.: 09/941,992

Filed: August 28, 2001

4. One of the inventors to whom this statement was forwarded is Nicholas F. Paoni. The statement was sent to Mr. Paoni at his current address which is 51365 Amesbury Way, Granger, Indiana 46530.

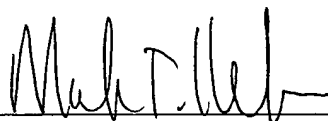
5. Nicholas F. Paoni was named as an inventor in the above captioned patent application (and all continuations, divisionals and continuation-in-part application thereof) based upon work he performed while employed by the current assignee, Genentech, Inc. Mr. Paoni's employment with Genentech, Inc. was terminated on September 19, 2001 and he is no longer employed by Genentech, Inc.

6. Since Mr. Paoni's employment with Genentech, Inc. was terminated, Mr. Paoni has expressed to me an unwillingness to sign any documents related to the prosecution of Genentech, Inc. patent application on which he is a named inventor.

7. Based upon this unwillingness to sign documents related to Genentech, Inc. patent applications on which he is a named inventor, Mr. Paoni has refused to execute the Property Rights Statement to the DOE and/or NASA under 42 U.S.C. §§ 2182 and 2457 referenced above. As such, the Property Rights Statement to the DOE and/or NASA under 42 U.S.C. §§ 2182 and 2457 submitted herewith is executed by all of the named joint inventors except for Nicholas F. Paoni.

8. I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 10-8-02

Signed: 
Mark T. Kresnak, Ph.D.
Section Patent Counsel
Genentech, Inc.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Group Art Unit: 1631
Ashkenazi et al.	Examiner: Not yet assigned
Serial No.: 09/941,992	
Filed: August 28, 2001	
For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i>	

**PROPERTY RIGHTS STATEMENT TO DOE AND/OR NASA (42 U.S.C. §§ 2182 and 2457) -
INVENTORS EMPLOYED BY AN ORGANIZATION**

Assistant Commissioner of Patents
ATTN: Licensing and Review
Washington, D.C. 20231

RECEIVED
OCT 15 2002
LICENSING & REVIEW

Sir:

We, as joint inventors named in the above captioned patent application and the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A, hereby declare with respect to the invention(s) described and claimed in the above captioned patent application and in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A that:

1. We made and conceived (a) the invention described and claimed in the above captioned U.S. patent application Serial No. 09/941,992 filed in the United States of America on August 28, 2001 and entitled "Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same" and (b) the invention(s) described and claimed in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A (henceforth the "Inventions");

2. We made and conceived these Inventions while employed by Genentech, Inc., 1 DNA Way, South San Francisco, California, 94080;

Serial No.: 09/941,992

Filed: August 28, 2001

3. These invention(s) are related to the work we are employed to perform (or were employed to perform, if no longer employed by Genentech, Inc.) and were made within the scope of our employment duties; that these inventions were made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc.;

4. To the best of our knowledge and belief, these Inventions were not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy;

5. To the best of our knowledge and belief, these Inventions were not made (conceived or first actually reduced to practice) under, nor is there any relationship of these Inventions to the performance of any work under any contract of the National Aeronautics and Space Administration;

6. We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first inventor

Avi Ashkenazi

1456 Tarrytown Street, San Mateo, CA 94402

Citizenship: US

Inventor's signature: Avi Ashkenazi Date: 9/5/02

Full name of second joint inventor

Kevin P. Baker

14006 Indian Run Drive, Darnestown, MD 20878

Citizenship: GB

Inventor's signature: _____ Date: _____

Serial No.: 09/941,992

Filed: August 28, 2001

3. These invention(s) are related to the work we are employed to perform (or were employed to perform, if no longer employed by Genentech, Inc.) and were made within the scope of our employment duties; that these inventions were made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc.;

4. To the best of our knowledge and belief, these Inventions were not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy;

5. To the best of our knowledge and belief, these Inventions were not made (conceived or first actually reduced to practice) under, nor is there any relationship of these Inventions to the performance of any work under any contract of the National Aeronautics and Space Administration;

6. We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first inventor

Avi Ashkenazi

1456 Tarrytown Street, San Mateo, CA 94402

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of second joint inventor

Kevin P. Baker

14006 Indian Run Drive, Darnestown, MD 20878

Citizenship: GB

Inventor's signature:  _____

Date: 9/9/02

Serial No.: 09/941,992

Filed: August 28, 2001

Full name of third joint inventor

David Botstein

2539 Somerset Drive, Belmont, CA 94002

Citizenship: US

Inventor's signature: _____ Date: _____

Full name of fourth joint inventor

Luc Desnoyers

2050 Stockton Street, San Francisco, CA 94133

Citizenship: CA

Inventor's signature:  _____ Date: 09.19.2002

Full name of fifth joint inventor

Dan L. Eaton

45 Inverness Drive, San Rafael, CA 94901

Citizenship: US


Inventor's signature: _____ Date: _____

Full name of sixth joint inventor

Napoleone Ferrara

2090 Pacific Avenue #704, San Francisco, CA 94109

Citizenship: US

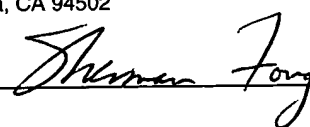
Inventor's signature:  _____ Date: 9-5-02

Full name of seventh joint inventor

Sherman Fong

19 Basinside Way, Alameda, CA 94502

Citizenship: US

Inventor's signature:  _____ Date: 9.23.02

Full name of eighth joint inventor

Hanspeter Gerber

1121 Tennessee Street #5, San Francisco, CA 94107

Citizenship: CH

Inventor's signature:  _____ Date: 9-15-02

Serial No.: 09/941,992

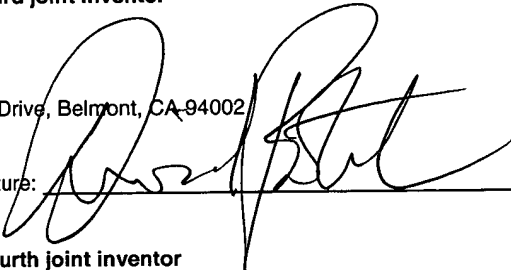
Filed: August 28, 2001

Full name of third joint inventor

David Botstein

2539 Somerset Drive, Belmont, CA 94002

Citizenship: US

Inventor's signature: 

Date: 9-4-02

Full name of fourth joint inventor

Luc Desnoyers

2050 Stockton Street, San Francisco, CA 94133

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of fifth joint inventor

Dan L. Eaton

45 Inverness Drive, San Rafael, CA 94901

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of sixth joint inventor

Napoleone Ferrara

2090 Pacific Avenue #704, San Francisco, CA 94109

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of seventh joint inventor

Sherman Fong

19 Basinside Way, Alameda, CA 94502

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of eighth joint inventor

Hanspeter Gerber

1121 Tennessee Street #5, San Francisco, CA 94107

Citizenship: CH

Inventor's signature: _____

Date: _____

Serial No.: 09/941,992

Filed: August 28, 2001

Full name of third joint inventor

David Botstein

2539 Somerset Drive, Belmont, CA 94002

Citizenship: US

Inventor's signature: _____ Date: _____

Full name of fourth joint inventor

Luc Desnoyers

2050 Stockton Street, San Francisco, CA 94133

Citizenship: CA

Inventor's signature: _____ Date: _____

Full name of fifth joint inventor

Dan L. Eaton

45 Inverness Drive, San Rafael, CA 94901

Citizenship: US

Inventor's signature: *Dan Eaton* Date: 9-5-02

Full name of sixth joint inventor

Napoleone Ferrara

2090 Pacific Avenue #704, San Francisco, CA 94109

Citizenship: US

Inventor's signature: _____ Date: _____

Full name of seventh joint inventor

Sherman Fong

19 Basinside Way, Alameda, CA 94502

Citizenship: US

Inventor's signature: _____ Date: _____

Full name of eighth joint inventor

Hanspeter Gerber

1121 Tennessee Street #5, San Francisco, CA 94107

Citizenship: CH

Inventor's signature: _____ Date: _____

Serial No.: 09/941,992

Filed: August 28, 2001

Full name of ninth joint inventor

Mary E. Gerritsen

541 Parrott Drive, San Mateo, CA 94402

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of tenth joint inventor

Audrey Goddard

110 Congo Street, San Francisco, CA 94131

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of eleventh joint inventor

Paul J. Godowski

25 Orange Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: Paul J. Godowski

Date: 9/20/02

Full name of twelfth joint inventor

J. Christopher Grimaldi

1434 36th Avenue, San Francisco, CA 94122

Citizenship: US

Inventor's signature: J. Christopher Grimaldi

Date: 9/20/02

Full name of thirteenth joint inventor

Austin L. Gurney

1 Debbie Lane, Belmont, CA 94002

Citizenship: US

Inventor's signature: Austin L. Gurney

Date: 9/18/02

Full name of fourteenth joint inventor

Ivar J. Kljavin

811 Dell Road, Pacifica, CA 94044

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/941,992

Filed: August 28, 2001

Full name of ninth joint inventor

Mary E. Gerritsen

541 Parrott Drive, San Mateo, CA 94402

Citizenship: CA

Inventor's signature: _____



Date: _____

Sept 5/02

Full name of tenth joint inventor

Audrey Goddard

110 Congo Street, San Francisco, CA 94131

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of eleventh joint inventor

Paul J. Godowski

25 Orange Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twelfth joint inventor

J. Christopher Grimaldi

1434 36th Avenue, San Francisco, CA 94122

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of thirteenth joint inventor

Austin L. Gurney

1 Debbie Lane, Belmont, CA 94002

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of fourteenth joint inventor

Ivar J. Kljavin

811 Dell Road, Pacifica, CA 94044

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/941,992

Filed: August 28, 2001

Full name of ninth joint inventor

Mary E. Gerritsen

541 Parrott Drive, San Mateo, CA 94402

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of tenth joint inventor

Audrey Goddard

110 Congo Street, San Francisco, CA 94131

Citizenship: CA

Inventor's signature: *A. Goddard*

Date: *Sept. 4, 2002*

Full name of eleventh joint inventor

Paul J. Godowski

25 Orange Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twelfth joint inventor

J. Christopher Grimaldi

1434 36th Avenue, San Francisco, CA 94122

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of thirteenth joint inventor

Austin L. Gurney

1 Debbie Lane, Belmont, CA 94002

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of fourteenth joint inventor

Ivar J. Kljavin

811 Dell Road, Pacifica, CA 94044

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/941,992

Filed: August 28, 2001

Full name of ninth joint inventor

Mary E. Gerritsen

541 Parrott Drive, San Mateo, CA 94402

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of tenth joint inventor

Audrey Goddard

110 Congo Street, San Francisco, CA 94131

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of eleventh joint inventor

Paul J. Godowski

25 Orange Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twelfth joint inventor

J. Christopher Grimaldi

1434 36th Avenue, San Francisco, CA 94122

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of thirteenth joint inventor

Austin L. Gurney

1 Debbie Lane, Belmont, CA 94002

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of fourteenth joint inventor

Ivar J. Kljavin

811 Dell Road, Pacifica, CA 94044

Citizenship: US

Inventor's signature:  _____

Date: 09-12-02

Serial No.: 09/941,992

Filed: August 28, 2001

Full name of fifteenth joint inventor

Mary A. Napier

1015 Hayne Road, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: Mary A Napier

Date: Sept 6, 2002

Full name of sixteenth joint inventor

James Pan

14 Tewsley Place, Zitobicoke, ONT M9P 1N7

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of seventeenth joint inventor

Nicholas F. Paoni

51365 Amesbury Way, Granger, IN 46530

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of eighteenth joint inventor

Margaret Ann Roy

2960 Webster Street #4, San Francisco, CA 94123

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of nineteenth joint inventor

Timothy A. Stewart

465 Douglass Street, San Francisco, CA 94114

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twentieth joint inventor

Daniel Tumas

3 Rae Avenue, Orinda, CA 94563

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/941,992

Filed: August 28, 2001

Full name of fifteenth joint inventor

Mary A. Napier

1015 Hayne Road, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of sixteenth joint inventor

James Pan

14 Tewsley Place, Zitobicoke, ONT M9P 1N7

Citizenship: CA

Inventor's signature: _____

Date: Sept 06/02

Full name of seventeenth joint inventor

Nicholas F. Paoni

51365 Amesbury Way, Granger, IN 46530

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of eighteenth joint inventor

Margaret Ann Roy

2960 Webster Street #4, San Francisco, CA 94123

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of nineteenth joint inventor

Timothy A. Stewart

465 Douglass Street, San Francisco, CA 94114

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twentieth joint inventor

Daniel Tumas

3 Rae Avenue, Orinda, CA 94563

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/941,992

Filed: August 28, 2001

Full name of fifteenth joint inventor

Mary A. Napier

1015 Hayne Road, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of sixteenth joint inventor

James Pan

14 Tewsley Place, Zitobicoke, ONT M9P 1N7

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of seventeenth joint inventor

Nicholas F. Paoni

51365 Amesbury Way, Granger, IN 46530

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of eighteenth joint inventor

Margaret Ann Roy

2960 Webster Street #4, San Francisco, CA 94123

Citizenship: US

Inventor's signature: 

Date: 10 Sept 2002

Full name of nineteenth joint inventor

Timothy A. Stewart

465 Douglass Street, San Francisco, CA 94114

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twentieth joint inventor

Daniel Tumas

3 Rae Avenue, Orinda, CA 94563

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/941,992

Filed: August 28, 2001

Full name of fifteenth joint inventor

Mary A. Napier

1015 Hayne Road, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of sixteenth joint inventor

James Pan

14 Tewsley Place, Zitobicoke, ONT M9P 1N7

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of seventeenth joint inventor

Nicholas F. Paoni

51365 Amesbury Way, Granger, IN 46530

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of eighteenth joint inventor

Margaret Ann Roy

2960 Webster Street #4, San Francisco, CA 94123

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of nineteenth joint inventor

Timothy A. Stewart

465 Douglass Street, San Francisco, CA 94114

Citizenship: ~~US~~ New Zealand

Inventor's signature: _____ 

Date: 9/20/02

Full name of twentieth joint inventor

Daniel Tumas

3 Rae Avenue, Orinda, CA 94563

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/941,992

Filed: August 28, 2001

Full name of fifteenth joint inventor

Mary A. Napier

1015 Hayne Road, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of sixteenth joint inventor

James Pan

14 Tewsley Place, Zitobicoke, ONT M9P 1N7

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of seventeenth joint inventor

Nicholas F. Paoni

51365 Amesbury Way, Granger, IN 46530

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of eighteenth joint inventor

Margaret Ann Roy

2960 Webster Street #4, San Francisco, CA 94123

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of nineteenth joint inventor

Timothy A. Stewart

465 Douglass Street, San Francisco, CA 94114

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twentieth joint inventor

Daniel Tumas

3 Rae Avenue, Orinda, CA 94563

Citizenship: US

Inventor's signature:  _____

Date: 9/4/2002

Serial No.: 09/941,992

Filed: August 28, 2001

Full name of twenty-first joint inventor

Colin K. Watanabe

128 Corliss Drive, Moraga, CA 94556

Citizenship: US

Inventor's signature: Colin Watanabe

Date: 9/5/2002

Full name of twenty-second joint inventor

P. Mickey Williams

509 Alto Avenue, Half Moon Bay, CA 94019

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twenty-third joint inventor

William I. Wood

35 Southdown Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twenty-fourth joint inventor

Zemin Zhang

876 Taurus Drive, Foster City, CA 94404

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/941,992

Filed: August 28, 2001

Full name of twenty-first joint inventor

Colin K. Watanabe

128 Corliss Drive, Moraga, CA 94556

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twenty-second joint inventor

P. Mickey Williams

509 Alto Avenue, Half Moon Bay, CA 94019

Citizenship: US

Inventor's signature: _____ 

Date: 9/17/02

Full name of twenty-third joint inventor

William I. Wood

35 Southdown Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twenty-fourth joint inventor

Zemin Zhang

876 Taurus Drive, Foster City, CA 94404

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/941,992

Filed: August 28, 2001

Full name of twenty-first joint inventor

Colin K. Watanabe

128 Corliss Drive, Moraga, CA 94556

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twenty-second joint inventor

P. Mickey Williams

509 Alto Avenue, Half Moon Bay, CA 94019

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twenty-third joint inventor

William I. Wood

35 Southdown Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: William I. Wood

Date: 9/9/01

Full name of twenty-fourth joint inventor

Zemin Zhang

876 Taurus Drive, Foster City, CA 94404

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/941,992

Filed: August 28, 2001

Full name of twenty-first joint inventor

Colin K. Watanabe

128 Cortiss Drive, Moraga, CA 94556

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twenty-second joint inventor

P. Mickey Williams

509 Alto Avenue, Half Moon Bay, CA 94019

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twenty-third joint inventor

William I. Wood

35 Southdown Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of twenty-fourth joint inventor

Zemin Zhang

876 Taurus Drive, Foster City, CA 94404

Citizenship: US

Inventor's signature: 

Date: 9/12/02

APPENDIX A

Application No.	Application Date	File No
09/990427	14Nov2001	P2730P1C10
09/993687	14Nov2001	P2730P1C11
09/990443	14Nov2001	P2730P1C12
09/992643	14Nov2001	P2730P1C13
09/990436	14Nov2001	P2730P1C14
09/991073	14Nov2001	P2730P1C15
09/990726	14Nov2001	P2730P1C16
09/991163	14Nov2001	P2730P1C17
09/990562	14Nov2001	P2730P1C18
09/990444	14Nov2001	P2730P1C19
09/990711	14Nov2001	P2730P1C2
09/992598	14Nov2001	P2730P1C20
09/990440	14Nov2001	P2730P1C21
09/990456	14Nov2001	P2730P1C22
09/993748	14Nov2001	P2730P1C23
09/991854	14Nov2001	P2730P1C24
09/993604	14Nov2001	P2730P1C25
09/997542	15Nov2001	P2730P1C26
09/997333	15Nov2001	P2730P1C27
09/998156	15Nov2001	P2730P1C28
09/997614	15Nov2001	P2730P1C29
09/990438	14Nov2001	P2730P1C3
09/997628	15Nov2001	P2730P1C30
09/997440	15Nov2001	P2730P1C31
09/997683	15Nov2001	P2730P1C32
09/997529	15Nov2001	P2730P1C33
09/998041	15Nov2001	P2730P1C34
09/997384	15Nov2001	P2730P1C35
09/997601	15Nov2001	P2730P1C36
09/997349	15Nov2001	P2730P1C37
09/997653	15Nov2001	P2730P1C38
09/997641	15Nov2001	P2730P1C39
09/993667	14Nov2001	P2730P1C4
09/997559	15Nov2001	P2730P1C40
09/997585	15Nov2001	P2730P1C41
09/997666	15Nov2001	P2730P1C42
09/997857	15Nov2001	P2730P1C43
09/997428	15Nov2001	P2730P1C44
09/997573	15Nov2001	P2730P1C45
09/997514	15Nov2001	P2730P1C46
09/990441	16Nov2001	P2730P1C47
09/991150	16Nov2001	P2730P1C48
09/990437	16Nov2001	P2730P1C49
09/993469	14Nov2001	P2730P1C5
09/991172	16Nov2001	P2730P1C50
09/991157	16Nov2001	P2730P1C51
09/990439	16Nov2001	P2730P1C52
09/991181	16Nov2001	P2730P1C53
09/989328	19Nov2001	P2730P1C54
09/989721	19Nov2001	P2730P1C55

APPENDIX A

Application No.	Application Date	File No
09/989279	19Nov2001	P2730P1C56
09/989732	19Nov2001	P2730P1C57
09/989862	19Nov2001	P2730P1C58
09/989729	19Nov2001	P2730P1C59
09/992521	14Nov2001	P2730P1C6
09/989726	19Nov2001	P2730P1C60
09/989735	19Nov2001	P2730P1C61
09/989723	19Nov2001	P2730P1C62
09/989722	19Nov2001	P2730P1C63
09/989734	19Nov2001	P2730P1C64
09/989727	19Nov2001	P2730P1C65
09/989293	20Nov2001	P2730P1C66
09/989724	20Nov2001	P2730P1C67
09/989733	20Nov2001	P2730P1C68
09/989730	20Nov2001	P2730P1C69
09/993583	14Nov2001	P2730P1C7
09/989731	20Nov2001	P2730P1C70
09/989725	20Nov2001	P2730P1C71
09/989728	20Nov2001	P2730P1C72
10/219538	14Aug2002	P2730P1C73
09/990442	14Nov2001	P2730P1C8
09/994054	14Nov2001	P2730P1C9



EXPRESS MAIL

UNITED STATES POSTAL SERVICE®

EXTREMELY URGENT

MUY URGENTE

Please Rush To Addressee

Favor de entregar urgentemente al destinatario

FOR PICKUP OR TRACKING CALL 1-800

Para recolección o localización, llame al 1-800



EXPRESS MAIL
UNITED STATES POSTAL SERVICE®

POST OFFICE
TO ADDRESSEE

EV01601873BUS

RECEIVED

Address Copy
Label 11-F August 2000

ORIGIN (POSTAL USE ONLY)		DELIVERY (POSTAL USE ONLY)	
PO ZIP Code	Day of Delivery	Delivery Attempt	Time
94114	<input type="checkbox"/> First <input type="checkbox"/> Second	Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM
Date In	Postage	Delivery Attempt	Time
Mo. Day Year	\$ 12.00	Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM
10 08 2002	Return Receipt Fee	Delivery Date	Time
		Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM
Weight	GOV Fee	EMPLOYEE SIGNATURE	
1.00	Insurance Fee	Employee Signature	
No Delivery <input type="checkbox"/> Holiday <input type="checkbox"/>	Total Postage & Fees	Employee Signature	
Acceptance Clerk Initials		Employee Signature	
		Employee Signature	

CUSTOMER USE ONLY
TO FILE A CLAIM FOR DAMAGE OR LOSS OF CONTENTS, YOU MUST PRESENT THE ARTICLE, CONTAINER, AND PACKAGING TO THE USPS FOR INSPECTION.

FROM: PLEASE PRINT		TO: PLEASE PRINT	
2500 APPARTMENT 2000		USPS MAIL CENTER	
2500 APPARTMENT 2000		OCT 08 2002	
2500 APPARTMENT 2000		EXPRESS MAIL LABEL DATE	

FOR PICKUP OR TRACKING CALL 1-800-222-1811 WWW.USPS.COM

DEPOSITO O RECOLECCION
LIAME al 1-800-222-1811 para nuestro servicio de recolección fácil. (Solamente un cargo
hain para cualquier número de envío) n entranía si natiasta de "Express Mail" an

BEST AVAILABLE COPY